

Whistleblowing Policy

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Developed by: HR Manager

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Southfields
Multi-Academy
TRUST



Southfields
Academy



Linden Lodge
School

A Specialist Sensory & Physical College

1. Introduction

Whistleblowing is a term used to describe disclosures made by workers which are in the public interest in relation to an alleged wrongdoing. This could include for example a criminal offence such as fraud, child abuse, a health and safety risk, an environmental risk, a miscarriage of justice, a breach of law, or a cover up of some wrongdoing. To be in the public interest, the wrongdoing disclosed must affect others, i.e. the general public.

The principles of whistleblowing and the protections offered to individuals that report such concerns are set out in the Employment Rights Act 1996, as amended by the Public Interest Disclosure Act 1998.

Everyone working in the school is in a position to identify unsafe or inappropriate practice, to spot where things could be improved and identify if mistakes have been made. The leadership team and governing body cannot take action if they are not made aware of things that may be going wrong, inappropriate behaviour or even an honestly held belief that something does not feel right.

This policy is intended to encourage and enable staff to raise concerns within the school in order for the head teacher / governing body to respond, rather than ignoring a concern or raising concerns externally.

This policy sets out what workers should do if they have a concern relating to the school which they wish to report and how the governing body will respond.

2. Scope

All staff have a duty to report any concerns they may have about activities and/or incidents within the school. It is recognised however that in some circumstances staff may wish to report issues confidentially and/or may worry about how the school and/or other staff may respond. This policy applies to all staff in the Multi Academy Trust and provides a framework for reporting concerns in a professional manner with due protection from any reprisals or victimisation.

This policy does not cover personal grievances which are not in the public interest, for example allegations of bullying or discrimination. Personal grievances should instead be resolved in accordance with the school's grievance procedure. Concerns about health and safety which do not affect the public should be reported in accordance with local procedures.

3. How to report a concern

A concern may be about something that you sincerely believe in good faith:

- Is unlawful
- Is contrary to the school's agreed policies or procedures
- Falls below established standards or practice
- Constitutes improper conduct or misconduct
- Presents a health and safety risk to the public as well as other employees
- Involves damage to the environment
- Involves the unauthorised use of public funds
- Involves potential fraud, corruption or financial irregularity
- Involves actual or potential abuse of children

You should make a note of your concerns with as much detail as possible, as soon as possible after the concern arises. Do not try to investigate your concerns personally and do not approach or accuse individuals directly. It is essential that appropriate reporting procedures are followed to maintain the integrity and confidentiality of any future investigation or proceedings.

When individuals report issues, they are making a vital contribution to the overall effectiveness of the school, the quality of education provided and the safety of the children in the school. Reporting issues allows for reflection and action to facilitate ongoing school improvement.

If you work in a maintained school and suspect fraud, corruption or financial irregularity, you should always inform the local authority audit manager directly. The audit manager will then be responsible for notifying any other staff as needed.

As a first step, a member of staff should raise concerns with the Headteacher or Head of School. If the allegations involve the Headteacher or Head of School, the member of staff should raise the matter with the CEO of the Trust.

Alternatively a member of staff may raise a concern with HR Director of the Trust or HR Manager.

If the allegations involve the CEO, the member of staff should raise the matter with the Chair of the Board of Trustees.

The earlier concerns are reported, the easier it will be for the school to take action. Staff not expected to prove the truth of any allegations but you will need to demonstrate to the person you report to that there are sufficient grounds for your concern.

Concerns may be raised verbally or in writing. It is easier for the school to investigate concerns if you raise these directly as they can then ask you questions to

clarify the issues raised. You can raise concerns anonymously but the school may not be able to investigate properly if you have not provided all the information needed and will not be able to tell you the outcome.

You can ask for confidentiality when raising your concern and if so the school will make every effort to protect your identity. Depending on the nature of your concern, and the action required in response, this may not always be possible. For example, an investigation process may reveal the source of the information and a statement by you may be required as evidence.

If you do not wish to report your concern to the school, you may wish to approach your trade union, or to a prescribed person or body that deals with the issue you are raising. If you choose to report your concerns to the media, then in most cases you will lose your rights under whistleblowing legislation.

4. How the school will respond

The school will identify an appropriate individual or committee to discuss the concerns and identify what action, if any, is needed in response. Remember that testing your concerns is not the same as accepting or rejecting them. You may be asked to provide further information.

The assigned individual will inform the staff member in writing within 10 working days of receipt of your complaint to: acknowledge receipt, indicate how they propose to deal with the matter, provide an estimate of how long it may take to provide a final response, advise whether initial enquiries have been made and whether further investigations are needed or if not why not.

Meetings can be conducted off the school site if wished. Staff have the right to be accompanied at such meetings by their trade union representative or a work colleague (who is not involved in the area of concern).

The allocated individual will decide the most appropriate way to deal with your concern and will keep you informed of the action they take but may not be able to provide you with detail if there is a need to keep the confidence of other people and/or proceedings. Action could include:

- An investigation under the school's internal procedures
- A referral to the police
- A referral to the local authority designated officer
- A referral to internal or external audit
- An independent inquiry

The school will take steps to minimise any difficulty you may experience as a result of raising a concern. For example, if an individual is required to give evidence in formal proceedings, the school will advise the procedure beforehand.

5. Escalating your concerns

In the event a staff member is are unsatisfied with the way the school has responded to your concern, or it is believed the wrongdoing is still ongoing, staff may wish to escalate the concern to the CEO or board of Trustees.

The Trustees will not tolerate harassment or victimisation in response to whistleblowing and will take action to protect staff that have raised concerns in good faith. This does not mean that if an individual is already the subject of a disciplinary, redundancy or other procedure that those procedures will be halted as a result of the whistleblowing.

This policy is intended to provide staff with a clear route to raise your concerns and for the school to respond to these concerns appropriately.

Southfields Multi Academy Trust encourage all staff members to utilise internal procedures in the first instance before considering external referrals. If you feel this has not happened and you feel that it is appropriate to take the matter outside of the school then you may contact either the national audit office: enquiries@nao.gsi.gov.uk or 020 7798 7999, or the police (if the concern relates to a potential criminal matter). Complaints to other bodies may hamper a speedy investigation into your concern.

Also, The Public Interest Disclosure Act sets out a number of bodies to which protected disclosures can be made, including HM Revenue & Customs, the Health and Safety Executive and the Serious Fraud Office.

Employees should be aware that going directly to the press may limit their protection under the Public Interest Disclosure Act and they could therefore be subject to disciplinary action as a result.

An employee considering such a course of action is strongly advised to seek prior advice from their trade union or an independent organisation before doing so.

Public Concern at Work

Charity providing advice line for public interest concerns:

Website: www.pcaw.co.uk

Tel: 020 7404 6609

6. Unfounded, unsubstantiated or malicious allegations

If a staff members makes an allegation in good faith, but it is not upheld or confirmed by subsequent investigation, no action will be taken. If however it appears that the staff member has acted frivolously, maliciously or in a vexatious manner, or for personal gain, then a disciplinary investigation will be undertaken into their conduct, in line with the disciplinary procedure.

Information which is provided to staff members on a confidential basis must be treated as such and this may only be overridden where there is a clear public interest in disclosing it and staff must act in good faith and reasonably. Staff need to ensure

that they are able to justify the disclosure because it would be considered a serious betrayal of trust to use confidential information for any personal advantage, or for malicious or other improper reasons.

7. Record keeping

The governing body have responsibility for the maintenance and operation of this policy. They will maintain a confidential record of any concerns raised and the outcomes (so as not to endanger confidentiality).

8. Advice and guidance

Further advice and guidance on how a matter may be pursued under this policy is available from the HR Department.